CITY OF MINNEAPOLIS

2020 Risk-Based Integrated Audit Plan

Internal Audit Department

Minneapolis
City of Lakes

Proposed 2020 Audit Plan

2020 Q2/Q3	*Contract Amendments Review - Phase II - Construction Contracts*	*Audit*	*Review City and Park Board construction contract change order and amendment processes and related controls to ensure key controls are adequately designed and operating effectively to sufficiently mitigate operational, financial, compliance and fraud risks.*
2020 Q2	*MPRB grant administration process*	*Audit*	*Review the Park Board grant administration processes and related controls to ensure compliance and fraud risks are sufficiently mitigated.*
2020 Q2	*Covid-19 Related Consultation Projects - Phase I*	*Special Project*	*Collaborating with ALGA to identify key risks and knowledge bank. Use to inform work with departments to identify, document, and strengthen key control processes.*
2020 Q2/Q3	Covid-19 Related Consultation Projects - Phase II	Special Project	Reviewing department strategies to cope with budget, staffing constraints and efficiency measures.
2020 Q3	BWC Audit Program	Consult	Assist the Department of Civil Rights in development of the Body Worn Camera Auditing program.
2020 Q3	Field Training Officer Program	Special Project	Assessing the Field Training Officer program to ensure adequate selection, training, and monitoring of field training officers.
2020 Q3	Directed Activity Data Analysis	Special Project	Collecting, analyzing, and presenting data to supplement the 911 response study, specifically as it relates to directed activity outside of 911 calls.
2020 Q3	Data Governance	Consult	Assess the maturity and readiness of the City's IT Governance and cybersecurity risk management programs.
2020 Q4	Division of Race and Equity	Consult	Collaboration with Division of Race and Equity to incorporate equity tools into Audit and Audit to provide assistance in evaluating equity impact modules
2020 Q4	Covid-19 Related Consultation Projects - Phase III	Special Project	Reviewing compliance with Continuity of Operations during Covid-19 pandemic response
2020 Q4	MPD Involvement in POST licensing process	Special Project	Reviewing MPD's involvement in the POST licensing process. Assessing how MPD communicates with POST when misconduct occurs.

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2021 Q1	MPD Equity in Post-Hire to Separation Processes Data Analysis - Phase II	Consult	Collaborate with IT Analytics, MPD, Office of Police Conduct Review, and Human Resources to analyze equity in MPD post-hire to separation processes and identify areas for improvement if applicable
2021 Q1	After Action Lawsuit Review	Consult	Assessing city processes after police lawsuits, small claims, and critical incidents that address control gaps, actions to prevent future liability
2021	Geographic Information System (GIS) Governance and IT General Controls	Audit	Review governance and effectiveness of IT general controls in the City's use of GIS systems
2021	Security Incident Event Monitoring (SIEM) Review	Consult	Review the configuration of the Splunk SIEM and associated governance to remediate identified security threats.
2021	Water Network Integration Consultation	Consult	Secure Integration: Review the configuration of the network connection between City and Water IT to help ensure that the Water SCADA systems are secured from external internet threats
2021	Park Board Patron Safety - Aquatics	Audit	Review whether Park Board Aquatics safety and maintenance practices align with policies and program goals
2020	Revenue and Collections	Audit	Review design and effectiveness of controls around City revenue and collections processes
2020	IT Cybersecurity Risk Management Audit	Audit	Assess the maturity and readiness of the City's IT cybersecurity risk management programs.
2020	Affordable Housing	Audit	Review the City's progress with affordable housing strategic planning, quantifiable goals, implementation, and monitoring for compliance to identify risks to the achievement of outcomes of multiple affordable housing programs. -Phase I